The Importance of Changing Mindsets for Innovative and Inclusive Public Service Delivery

Dr. Adriana Alberti, Chief, Programme Management and Capacity Development Unit, DPIDG, UN DESA



Innovation in Service Delivery is Critical to Prosperity and Development

Complex domestic and international challenges and opportunities require that the public sector continually adapts to changing circumstances.

Governments are faced with 3 main domestic challenges:

- More far-reaching and higher quality services with less resources and increased holistic capacities
- More accountable, responsive and effective
- Responsive to an increased demand from citizens for enhanced participation

Although government is still central to society, it is now widely recognized that governance is not the sole prerogative of governments.



Effective and Inclusive Service Delivery: Heartbeat of Prosperous Societies



Efficient and inclusive healthcare



Access to quality education



Access to water



Justice – Equality



Happiness



Public transportation



Security



Appropriate framework for private sector development



Environmental Protection



Principles of Effective Innovation in Service Delivery

1. Quality

The availability of government services in ways that are more convenient to the public, speedy processing of applications or claims, and a reduction in the amount of paperwork and other activities citizens must perform to demonstrate compliance.

2. Access

Such as the expansion of the coverage or enhancement of quality service delivery to vulnerable groups is critical to inclusive development.

3. Costeffectiveness

Utilizing the most economic models for delivering quality services to the citizens and ensuring effective delivery is essential.

4. Citizen-centric

Utilize
mechanisms that
have proven to
succeed in
engaging citizens
in the delivery of
services.



Enabling Factors for Effective Innovation in Service Delivery based on UNPSA Winners



Visionary and committed leadership



Collaborative institutional frameworks



Promoting a culture of innovation and transforming mindsets



Engaging citizens



Building partnerships



Utilizing ICTs







ROAD MAP TO INNOVATION IN SERVICE DELIVERY



What is mindset? Why are mindsets important?

Table 1.1: Definitions of mindsets

Definitions of mindsets	Source
Mindsets form the "core" of people's meaning systems, bringing together goals, beliefs, and behaviors to shape people's thoughts and actions	Dweck & Yeager, 2019
Mindsets refers to people's beliefs about the nature of personal attributes, such as intelligence	Alexander P. Burgoyne, 1 David Z. Hambrick, & 2 Brooke N. Macnamara, 2020
In cognitive psychology, a mindset represents the cognitive processes activated in response to a given task.	French, 2016
In system thinking, mindset is associated with cultural and social values	Magoroh Maruyama, 1980
Mindsets can be formed and shaped by different factors, including culture, socialization processes, spirituality and religion, and media exposure	Crum & Zuckerman, 2017
"In a fixed mindset, people believe their basic qualities, like their intelligence or talent, are simply fixed traits. They spend their time documenting their intelligence or talent instead of developing them. They also believe that talent alone creates success—without effort. They're wrong". "In a growth mindset, people believe that their most basic abilities can be developed through dedication and hard work—brains and talent are just the starting point. This view creates a love of learning and a resilience that is essential for great accomplishment. Virtually all great people have had these qualities."	Carol Dweck, 2015

A mindset consists of beliefs and attitudes that a person has assimilated throughout a lifetime about themselves and the world around them.

Beliefs are what we hold to be true, convictions that may or may not correspond to reality. Beliefs influence our interpretation of, and response to, events.

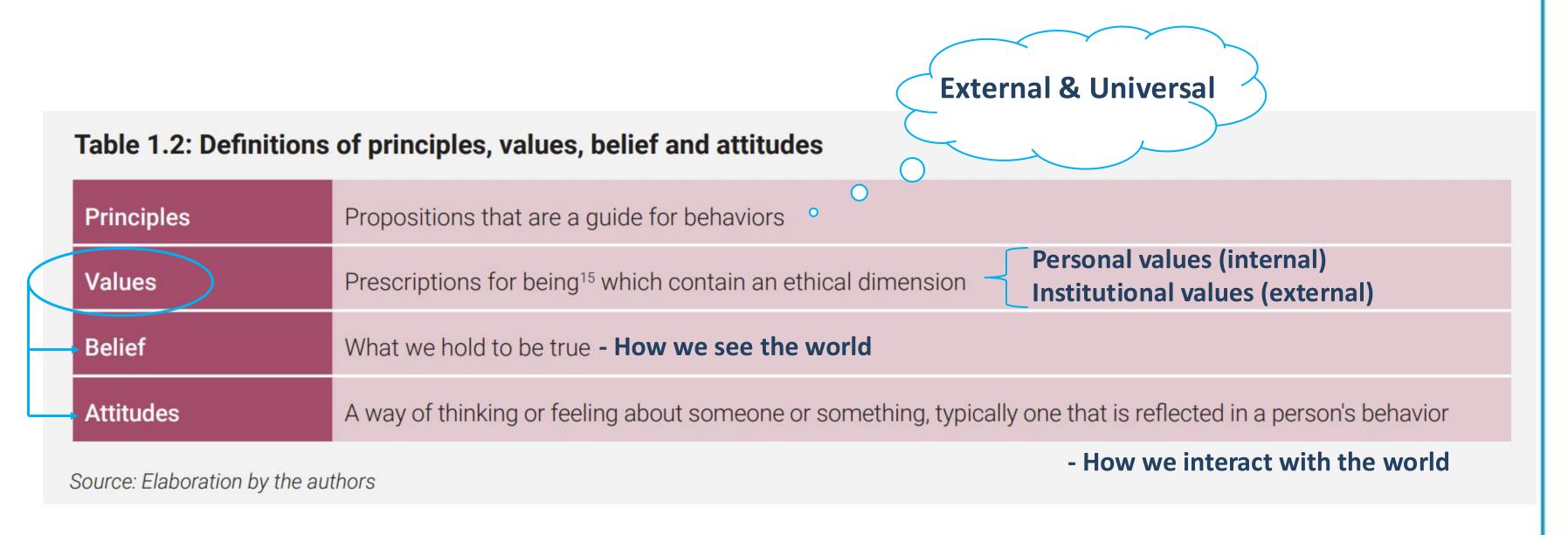
While beliefs are about how we interpret the world, attitudes are about how we interact with the world.

Mindsets affect behaviors and decision-making, thus results.

Source: Elaboration by the author: Stefania Senese



What influences our mindsets?



Beliefs and attitudes are influenced by values

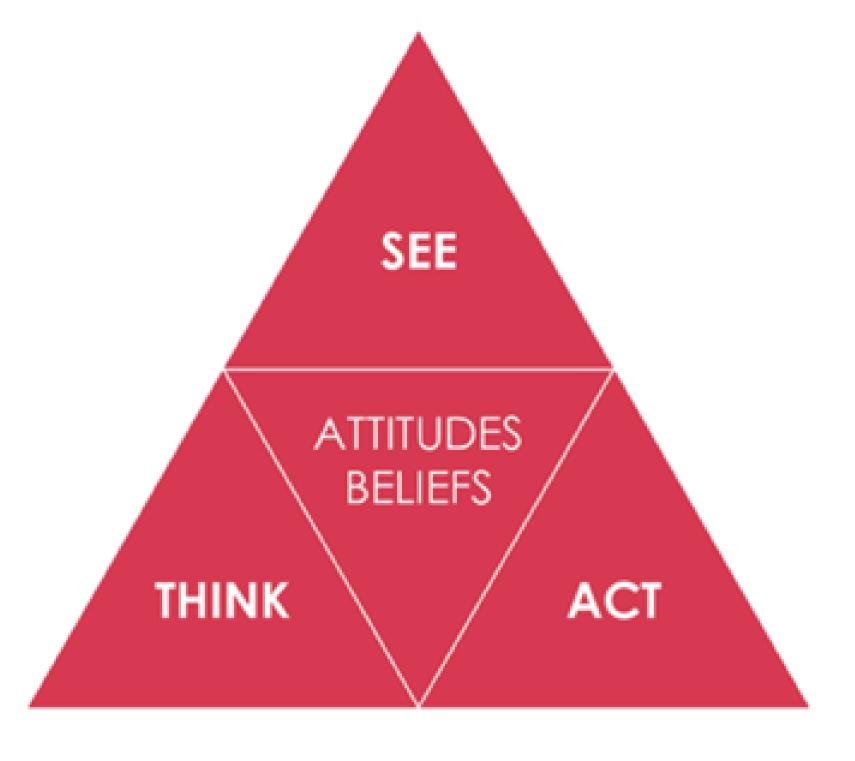


Why are mindsets important?

See. Our perception - what we see and hear, in the physical world, and socially, culturally, politically. How we 'see' things is determined by the 'frames' we use to make sense of reality.

Think. How we make sense of situations, consciously or subconsciously. The mental models we develop to anticipate causes and effects. These affect the way we interpret information, create patterns and ask questions.

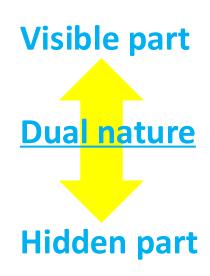
Act. How we use what we see and think to inform the behaviours and actions we deem possible and appropriate.





Why is it important to change mindsets to promote effective institutions for sustainable development?

Understanding the nature of institutions and institutional change





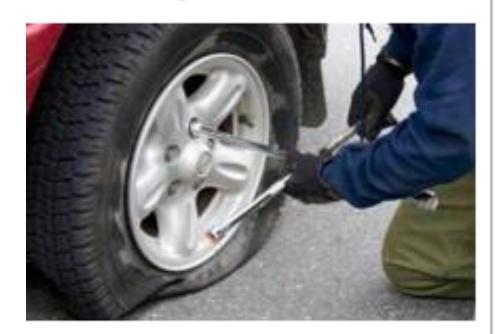
Institutional change does not mean a change of rules and goals per se. It also implies <u>changing</u> <u>the beliefs and attitudes (mindsets)</u>, and values <u>of public servants</u> to reorient behavior to attain those goals. Above all, it means <u>behavioral</u> changes that enact the new prescriptions for action which are implied by the new rules.



Biases that often influence policy development

Bias	Description	Implications for policy development
Fixation (functional fixedness)	Being blind to alternatives	Elaborating on one solution at an early stage. Focusing on one method only.
Confirmation bias	A tendency to cherry-pick information that confirms existing beliefs or ideas	Missing disconfirming information, ignoring "red flags" end up with failure at a later stage
Group think (bandwagon effect)	Individuals in a group strive for harmony and consensus and avoid raising controversial issues or alternative solutions	Ideas or misconceptions are not challenged, there is loss of individual creativity, uniqueness and independent thinking
Not invented here	Internally-developed solutions are considered better than externally-developed solutions	May incur inflated development costs, while tested and proven solutions already are available
Spotlight effect	Search for information where it is easiest	Explore what is already known, or explore a predictable subset of solutions, while innovation happens in the adjacent possible

SIMPLE



It involves a few components and actors

Clear end state: we can tell when the problem is solved

Procedures to solve the problem involve a few simple and clear steps

COMPLICATED



It involves a many components and experts

Clear end state: we can tell when the problem is solved

Procedures to solve the problem involve many steps and a specific order

COMPLEX



It involves many actors with conflicting interests

No clear end state: new problems may occur

Procedures to solve the problem are unclear, not known, requires trials & error to see what works



Public servants need to:

- Make decisions in the face of uncertainty while being able to legitimize these decisions
- Set out a bold course of action while adapting to and improvising for unforeseen situations
- Explore new possible futures while focusing on outcomes and committing to real-world effects
- Keep the big picture in mind while also considering citizens' needs at an individual level
- Be reflective and critical while having a strong bias towards action



Managing such dynamics calls for the right mindsets to lead the change.



Towards culture change in government and public institutions and organisations

PREDICTABLE DISCOVERABLE **OUTCOMES** Intended outcomes result from trial-and-error Intended outcomes result from careful analysis "WE DON'T KNOW (FOR SURE)" "WE KNOW" **ATTITUDE** Developing & implementing policy behind your desk Involving others & testing to see what works **FUTURE-ORIENTED REARVIEW-MIRROR DECISION BASIS** Decisions are based on historical data Decisions are based on indicators from experiments **HIGHLIGHT AND VALIDATE** PURPOSE OF KNOWLEDGE **UNDERSTAND AND GENERATE** Generalizable assumptions at a point-in-time Capturing human experience over time **EXPLORATIVE** PRESCRIPTIVE **TOOLS** Prescribing a predefined course of action Processes that allow for exploring new possibilities

FAILURE

EMBRACED

Failure is accepted as part of iterative learning

AVOIDED

Failure is something to be avoided



New Competencies

aligned to SDG implementation mindsets are required in the public sector

New mindsets must go in hand in hand with new competencies, which call for specific know-how and skills.

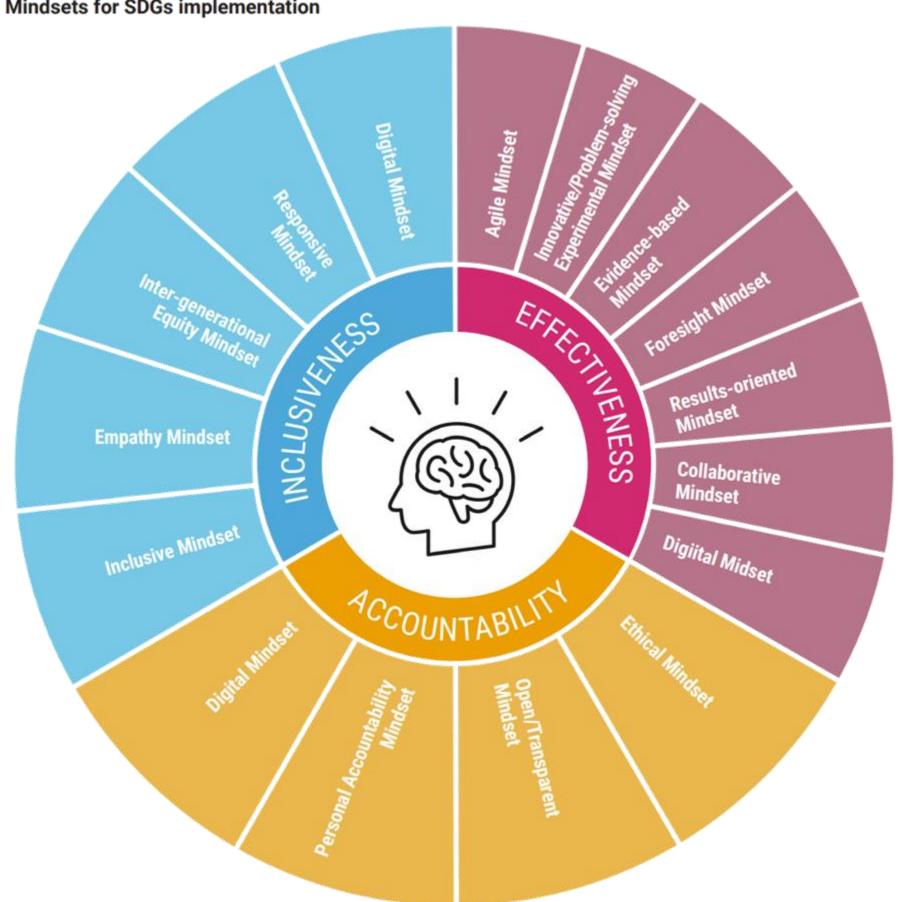
Governments may need to invest in retooling public services and reskilling public servants.

A new competency should bring with it new behaviors from the application of new knowledge, technical, practical and human skills.



What new capacities, mindsets, and competencies are needed to implement the SDGs?

Figure 1.3: Mindsets for SDGs implementation





What new capacities, mindsets, and competencies are needed to implement the SDGs?

Institutional effectiveness is based on the principles of sound policymaking, competence, collaboration and systemic robustness. Mindsets that can promote institutional effectiveness include:



Agile Mindset for systems-thinking and strategic intelligence in support of integration



Collaborative Mindset for better coordination, integration, and dialogue



Innovative/Experiment
al Mindset for innovation
and critical thinking in
support of
transformation and
competence



Evidence-based
Mindset in support of sound policymaking



Results-oriented
Mindset for action in
support of results oriented actions



Foresight Mindset for long-term planning and sound policymaking



Digital Mindset for transformative digital change



What new capacities, mindsets, and competencies are needed to implement the SDGs?

Institutional accountability is based on the principles of integrity, transparency and independent oversight. Ensuring an accountability driven culture and capacities means that public institutions invest in promoting. Mindsets that can promote institutional accountability include:



Ethical Mindset in support of values and beliefs based on sound moral principles



Open/Transparent
Mindset in support of
integrity and transparency
dialogue



Personal Accountability
Mindset in support of an
accountability culture



Digital Mindset for a digital change



What new capacities, mindsets, and competencies are needed to implement the SDGs?

Institutional inclusiveness is based on the principles of leaving no one behind, non-discrimination, participation, subsidiarity, and inter-generational equity. Investing in capacities to promote institutional inclusiveness means investing in creating



Inclusive Mindset for empathic and responsive service delivery in support of leaving no one behind, non-discrimination, participation, subsidiarity, and inter-generational equity



Empathy/relational
Mindset in support of
emotional intelligence
and socially conscious
leadership to safeguard
people, planet, and
prosperity for all



Responsive
Mindset for peoplecentric services with
a special focus on
vulnerable groups



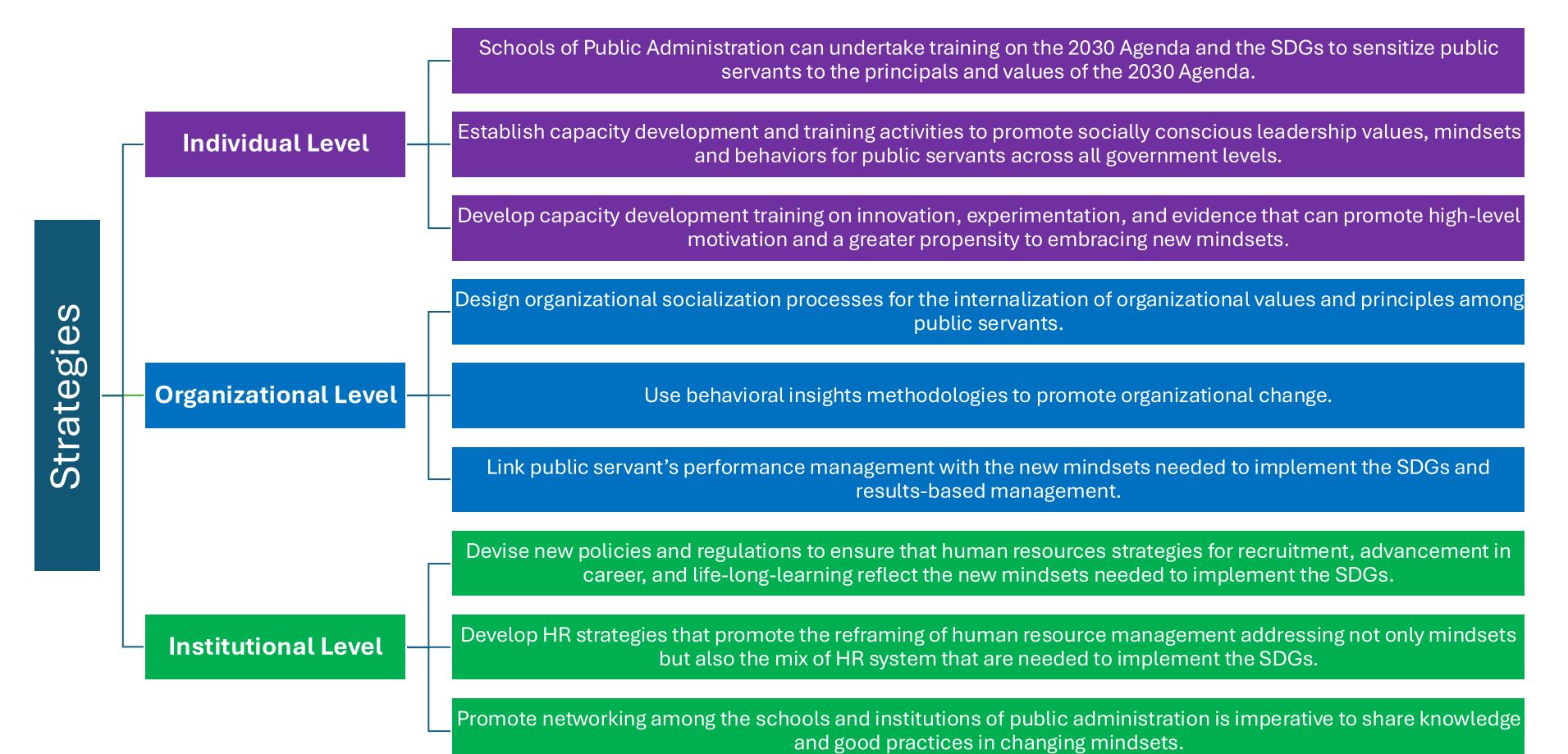
Intergenerational equity Mindset



Digital Mindset for a digital change



Strategies at the <u>Individual, Organizational and Institutional Levels</u> to Promote a Change in Mindsets





Strategies at the <u>Individual</u>, <u>Organizational and Institutional</u> <u>Levels</u> to Promote a Change in Mindsets

Steps to changing mindsets:

STEP 1: Identify

STEP 2: Realize

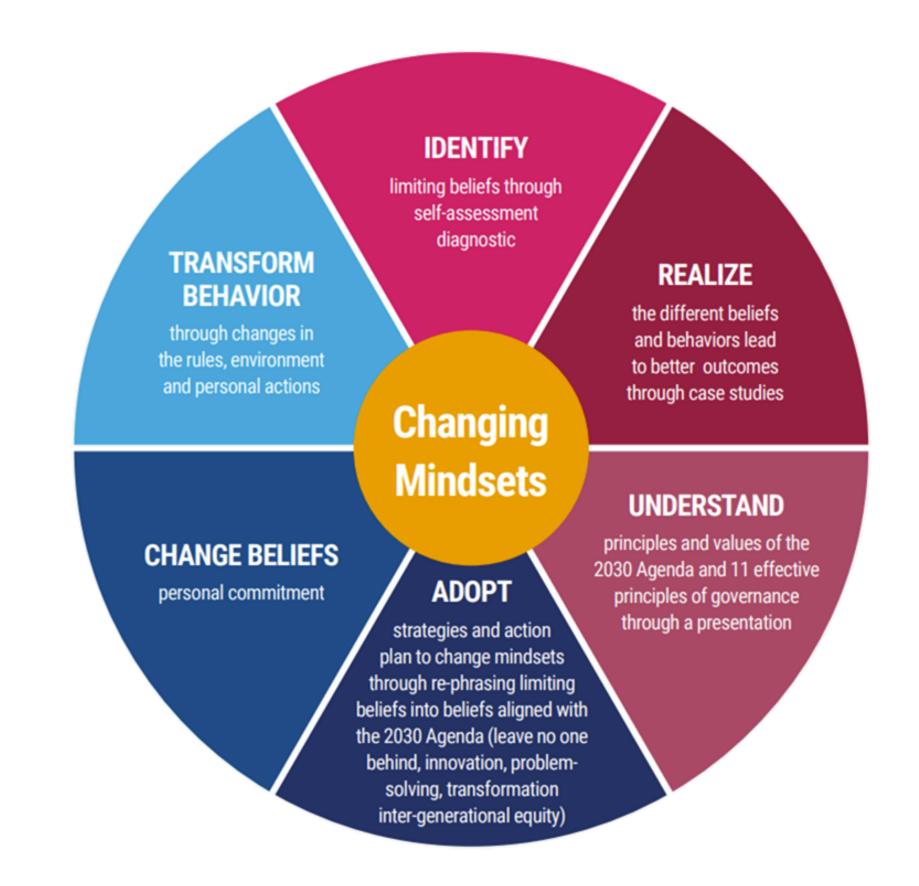
STEP 3: Understand

STEP 4: Adopt strategies

STEP 5: Change beliefs

STEP 6: Transforming

behaviors





Mindsets for Effective Public Service Delivery

These mindsets help ensure that services are results-oriented, data-driven, and adaptive.

Mindset		Description	Example
Results-Oriented		Focused on outcomes, not just processes.	A municipal planner prioritizes improving commute times over just increasing road budgets.
Adaptive/Flexible		Able to adjust plans when circumstances change.	A health worker adapts vaccination outreach methods during a flood to reach displaced families.
Evidence-Based		Uses data and analytics to guide decisions.	A ministry uses real-time school attendance data to adjust education funding formulas.
Innovation Mindset	- <u>EB</u> -	Embraces experimentation and creative problem-solving.	A government agency pilots a mobile app for birth registration in rural areas.





Mindsets for Inclusive Public Service Delivery

These foster equity, participation, and responsiveness to diverse needs.

Mindset	Description	Example
Equity-Oriented (Recognizes and addresse structural inequalities.	A social protection program prioritizes benefits for female- headed households.
Empathy-Based	Understands and values experiences.	A public servant adjusts service hours to accommodate working mothers.
Participatory ?	Encourages stakeholder engagement in policy des	A mayor holds regular community sign. forums before budget approval.
Intercultural Sensitivity	Respects cultural and ling diversity.	Officials translate services into minority languages to ensure access.



Mindsets for Accountable Public Service Delivery

These promote transparency, integrity, and answerability.

Mindset	Description	Example
Integrity-Driven	Acts ethically and resists corruption.	A procurement officer rejects an overpriced bid from a politically connected vendor.
Transparency-Oriented	Makes decisions and processes visible to the public.	A department publishes open data on budget execution and delays.
Service-Oriented ************************************	Views citizens as clients to serve, not burdens.	A front-desk clerk follows up with citizens who experienced delays.
Reflective/Critical	Questions norms and learns from feedback.	A department conducts a citizen satisfaction survey and updates procedures accordingly.



"A transformed mindset is the foundation for a transformed public service. Each of us is both a carrier and a catalyst of change."





At your Tables, please turn to the person next to you and discuss (10 minutes) Then share with the Table (5 minutes)



Key Questions:

- What are the dominant mindsets in your institution?
- Which ones help or hinder innovation and effective delivery?