Why is Anticipatory Governance and Changing Mindsets Key to Addressing Current and Future Challenges?

Dr. Adriana Alberti, Chief, PMCDU, DPIDG, UN DESA



Overview of the Presentation

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Highlights current governance challenges

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Defines anticipatory governance and key agreements for change

Identifies why mindset change is needed

4

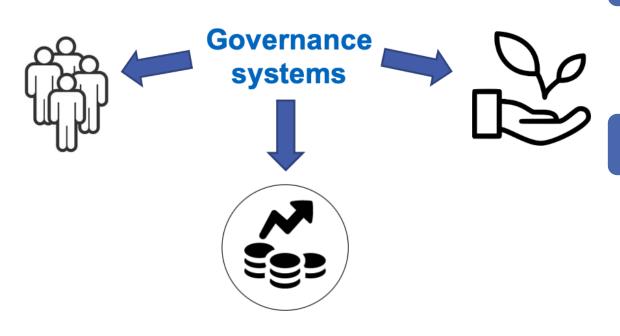
Examines five mindset shifts needed for anticipatory governance





1. Why governance matters

Governance: plays a crucial role in determining the well-being of people and societies.



Global Governance

- Framework of multilateralism that
- Enables cooperation to
- Manage global challenges, including conflicts

National and Subnational Governance

 Provides a System of values, structures, mechanisms and processes for the interaction among the state, civil society, and private sector that helps manage economic, political, and social affairs



SUSTAINABLE

DEVELOPMENT

Public Institutions are essential to achieve all the SDGs, especially through public service delivery.







Why governance must adapt in a VUCA world

Current governance systems are facing many challenges Growing number of complex international issues \rightarrow Inability of governments to cope



Today, we are at an inflection point for **governance systems at all levels**, fomented by a perceived disconnect between societal problems and the **capacity** of current governance systems to address them.





What has changed?

We now live in an increasingly VUCA world resulting in multiple crises that are the manifestation of, and contributors to, inadequate governance systems.

World Context:

- Volatile due to existential crises, such as climate change, geopolitical tensions, economic instability, technological disruptions, etc.
- **Uncertain** due to emerging, unpredictable crises (pandemics, migration, climate, etc.)
- **Complex** with multiple crises, no ready-made solutions, and little margin for response
- **Ambiguous** including difficulty to agree on definitions of the problems and root causes and appropriate responses









PACT 2. Key Agreements and Frameworks for Change FOR THE FUTURE

- The Pact for the Future, which was agreed upon by United Nations Member States in **2024** during the **Summit of the Future**.
- It highlights the need for **anticipatory governance**, where decision-makers utilize **foresight** methodologies to predict and prepare for future challenges and opportunities.



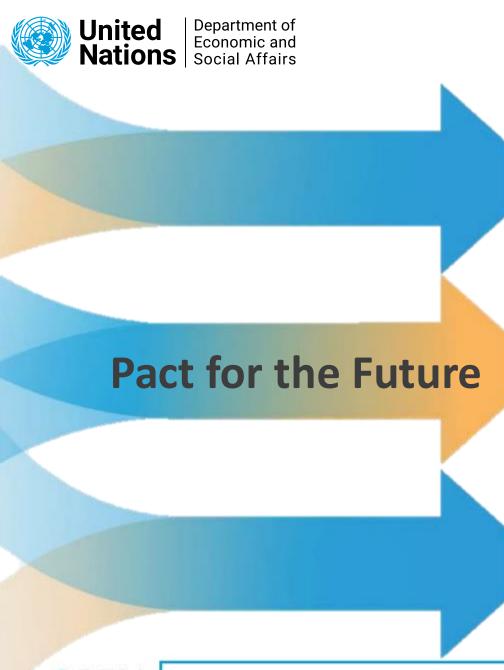
Pact for the Future

ACTION 24

DESA

The Pact calls for "**leveraging science**, data, statistics, and strategic foresight to ensure long-term thinking and planning, and to develop and implement sustainable practices and the institutional reforms necessary for evidence-based decisionmaking, while making governance more anticipatory, adaptive, and responsive to future opportunities, risks, and challenges".





ACTION 27

Emphasizes "investing in capacity to better prepare for and respond to future global shocks, crises, and challenges, and using evidence-based planning and foresight to avoid and mitigate risks, ensuring that the poorest and most vulnerable do not bear disproportionate costs and burdens of mitigation, adaptation, restoration, and resilience-building".

ACTION 29

It calls for "enhancing cooperation with stakeholders, including civil society, academia, the scientific and technological community, and the private sector, and encouraging intergenerational partnerships by promoting a whole-of-society approach to share best practices and develop innovative, long-term, and forward-thinking ideas to safeguard the needs and interests of future generations".



Global Digital Compact

Annex I

Annexed to the Pact for the Future, the **Global Digital Compact** aims to advance to an open, free, secure and human-centered digital future for all, one that is anchored in **universal human rights** and that enables the attainment of the **Sustainable Development Goals**.

The purpose is to establish an **inclusive global framework**, essential for multi-stakeholder action required to overcome digital, data and innovation divides.

It highlights the importance of digital literacy, skills and capacities; digital public goods and digital public infrastructure and the key role of Governments in advancing towards the Common Agenda and a digital future for all.

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Declaration on Future Generations

Annexed to the Pact for the Future, the **Declaration on Future Generations** aims to ensure that present generations act with responsibility towards **safeguarding the needs and interests of future generations** and to promote intergenerational solidarity, justice and equity.

It recognizes **children and youth** as agents of change and the need for intergenerational dialogue and engagement.

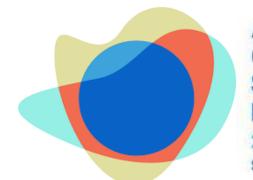
It highlights the importance of Human Rights, International law, peace and sustainability, leaving no-one behind.

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Antigua and Barbuda Agenda for SIDS (ABAS)

The SIDS Story



4TH INTERNATIONAL CONFERENCE ON Small Island Developing States 27-30 MAY, 2024 ST. JOHN'S, ANTIGUA AND BARBUDA

The 10-year Agenda recognizes that the SIDS remain a special case for sustainable development given their unique vulnerabilities.

"SIDS are facing the unrelenting and compounding impacts of climate change, biodiversity loss, pollution, disasters and natural hazards, health and other social related challenges and economic vulnerabilities, as well as the progressive deterioration in their ability to withstand external shocks and enhance their resilience."





According to the Antigua and Barbuda Agenda for SIDS (ABAS), SIDS want to:

- 1. Build resilient economies
- 2. Foster safe, healthy and prosperous societies
- 3. Have a secure future
- 4. Ensure environmental protection and planetary sustainability

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ABAS Recommendations to Strengthen Governance and Institutional Capacities

It outlines several key recommendations to strengthen governance, public services, and institutional capacity in Small Island Developing States (SIDS).

- 1. Promoting Good Governance and Inclusive Institutions
- 2. Enhancing Public Services and Social Protection
- 3. Building Institutional and Technical Capacity
- 4. Strengthening Data Infrastructure and Digitalization
- 5. Encouraging Civic Participation and Access to Information
- 6. Establishing Monitoring and Evaluation Frameworks

It emphasizes the importance of anticipating risks and future shocks to enhance resilience and sustainable development in SIDS.

Principles of

Effective Governance for Sustainable Development

Effectiveness

- » Competence
- » Sound policymaking
- » Collaboration

Accountability

- » Integrity
- » Transparency
- » Independent oversight

Inclusiveness

- » Leaving no one behind
- » Non-discrimination
- » Participation
- » Subsidiarity
- » Intergenerational equity

Principles of effective governance for sustainable development

What are they for?

- » Building strong institutions at all levels
- » Serving as a reference point that brings together relevant standards and technical guidelines
- » Informing public sector reform initiatives for the SDGs

Where do they come from?

- » Developed by CEPA specifically for SDG implementation
- » Endorsed by the UN Economic and Social Council
- » Based on United Nations agreements

How can countries benefit from them?

- » As an analytical framework in policy formulation
- » As a guide in assessing institutional capacities, processes and culture
- » As a foundation of SDG awareness raising and training initiatives

Committee of Experts on Public Administration CEPA is an expert body of the United Nations that studies and makes recommendations to improve governance and public administration structures and processes for development.

https://publicadministration.un.org dpidg@un.org

#UNCEPA

For more information, please visit: Principles of effective governance for sustainable development | Public Institutions (un.org)



What are the Strategies to operationalize the principles of effective governance?

EFFECTIVENESS			ACCOUNTABILITY		
COMPETENCE Promotion of a professional public sector workforce Strategic human resources management Leadership development, training of civil servants Performance management Results-based management Financial management and control Efficient and fair revenue administration Investment in e-government	SOUND POLICY-MAKING Strategic planning and foresight Regulatory impact analysis Promotion of coherent policymaking Strengthening national statistical systems Monitoring & evaluation systems Science-policy interface Risk management frameworks Data sharing	COLLABORATION Centre of government coordination under Head of State / Government Collaboration, coordination, Integration, dialogue across levels of government, functional areas Raising awareness on SDGs Network-based governance Multi-stakeholder partnerships	ACCOUNTABILITY Promotion of anti-corruption policies, practices and bodies Codes of conduct for public officials Competitive public procurement Elimination of bribery, influence trading Conflict of interest policies Whistle-blower protection Provision of adequate remuneration and equitable pay scales for public servants	TRANSPARENCY Proactive disclosure of information Budget transparency Open government data Registries of beneficial ownership Lobby registries	INDEPENDENT OVERSIGHT Promotion of the independence of regulatory agencies Arrangements for review of administrative decisions by courts or other bodies Independent audit Respect for legality
LEAVING NO ONE BEHIND Promotion of equitable fiscal and monetary policy Promotion of social equity Data disaggregation Systematic follow-up and review	NON-DISCRIMINATION Promotion of public sector workforce diversity Prohibition of discrimination in public service delivery Multilingual service delivery Accessibility standards Cultural audit of institutions Universal birth registration Gender-responsive budgeting	INCLUSIVENESS PARTICIPATION Free and fair elections Regulatory process of public consultation Multi-stakeholder forums Participatory budgeting Community-driven development	SUBSIDIARITY Fiscal federalism Strengthening urban governance Strengthening municipal finance and local finance systems Enhancement of local capacity for prevention, adaptation and mitigation of external shocks	INTERGENERATIONAL EQUITY Multilevel governance Sustainable development impact assessment Long-term public debt management Long-term territorial planning and spatial development Ecosystem management	For governments to be effective, they must embrace sound policymaking. One of the strategies to achieve this is strategic planning and foresight.



3. What is anticipatory governance?

Anticipatory governance is a system of decision-making that proactively prepares for future challenges, risks, and opportunities by integrating foresight, scenario planning, early warning, and adaptive policymaking into public institutions and governance processes.

Key Components:

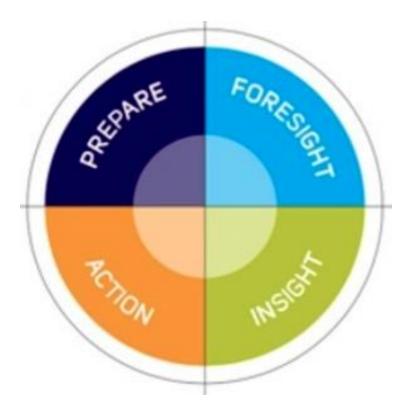
- Foresight and Futures Thinking using tools like horizon scanning and scenario development to identify emerging trends and potential disruptions.
- Early Warning and Monitoring detecting early signs of crises or systemic risks through data and analytics.
- Adaptive Planning developing flexible strategies and policies that can evolve as conditions change.
- **Participatory Engagement** involving diverse stakeholders (including youth, indigenous communities, and civil society) in shaping future-oriented policies.
- **Coordination and Integration** aligning across sectors and governance levels to manage complexity and uncertainty effectively through **systems thinking**.

Goal: To make governance more resilient, inclusive, and responsive by enabling institutions to act before problems become crises and to seize opportunities.





Strategic Foresight



Strategic foresight is a systematic approach to anticipating and preparing for future challenges and opportunities. It involves analyzing trends, uncertainties, and emerging issues to inform decision-making and strategic planning.

Foresight is a tool to shape—not predict—the future



Deeper understanding of the critical drivers of change that will influence governance outcomes



Identifying the needs and aspirations of current and future generations



Understanding how different governance models can address the new challenges and aspirations





Case Study – Fiji's Foresight for Climate Resilience

Context: Fiji is highly vulnerable to climate shocks like cyclones and rising sea levels.

Foresight Initiative:

- Partnered with the UN to conduct futures thinking and scenario planning workshops.
- Trained government officials to identify trends, imagine scenarios, and plan adaptive strategies.

Impact:

- Informed Fiji's National Development Plan and climate adaptation strategies.
- Improved inter-ministerial coordination and embedded long-term thinking.

Lesson: Strategic foresight helped shift from crisis response to resilience planning.

United Department of Economic and Social Affairs Why Anticipatory Governance Requires Changing Mindsets

"We cannot solve

	Anticipatory Governance – A i	with yesterday's			
FromToWhy it MattersReactive responseProactive foresightAnticipates risks before they become crisesShort-term focusLong-term planningBuilds policies with future generations in mindPolicy silosSystems thinkingAddresses complex, cross-cutting challengesTechnocratic controlInclusive participationBuilds legitimacy and leverages diverse knowledgeRigid proceduresAdaptive strategiesResponds effectively to uncertainty and	5				
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	Rigid procedures	Adaptive strategies	•		

Anticipatory Governance = A New Way of Thinking

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Key Takeaways



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Governance Systems Must Be Adaptive and Evolve to meet 21st-century challenges \rightarrow Flexible strategies, diverse stakeholder engagement, and co-creation are needed to respond to uncertainty and complexity.



Anticipatory governance enables forward-thinking responses \rightarrow Governments can take action early—before problems become crises—by using tools like foresight, data analysis, and scenario planning to prepare for future risks and opportunities.

Mindset shifts are central to public sector transformation \rightarrow Anticipatory governance requires shifting from reactive, short-term, and siloed approaches to proactive, long-term, innovative and integrated strategies.

Strategic Foresight Enables Better Decision-Making and Planning \rightarrow By analyzing trends, identifying risks, and envisioning futures, foresight helps shape more resilient and informed governance. Engaging people in collective visioning of the future can help to shape the governance of the future.



SIDS Need to Continue Building Institutional Capacity for Resilience \rightarrow Through applying strategic foresight, data, digital infrastructure, and participatory processes, small island developing states can better anticipate and respond to future shocks.